

# Agenda Item 7

		<b>THE HEALTH SCRUTINY COMMITTEE FOR LINCOLNSHIRE</b>	
Boston Borough Council	East Lindsey District Council	City of Lincoln Council	Lincolnshire County Council
North Kesteven District Council	South Holland District Council	South Kesteven District Council	West Lindsey District Council

Open Report on behalf of Richard Wills, the Director responsible for Democratic Services

Report to	<b>Health Scrutiny Committee for Lincolnshire</b>
Date:	<b>15 March 2017</b>
Subject:	<b>Arrangements for the Quality Accounts 2016-2017</b>

**Summary**

The Health Scrutiny Committee for Lincolnshire is invited to make arrangements for the *Quality Accounts* process for 2017. In January 2017, NHS England confirmed the arrangements for 2017, which follow the pattern of last year. In 2016 statements were prepared on behalf of the Committee on the *Quality Accounts* of nine providers of NHS-funded services. Three of these statements were jointly prepared with Healthwatch Lincolnshire.

The Health Scrutiny Committee is invited to consider three questions:

- On which draft *Quality Accounts* does the Committee wish to make a statement in 2017?
- Does the Committee wish to work jointly with Healthwatch Lincolnshire on the *Quality Accounts* of the three main Lincolnshire-based providers (Lincolnshire Community Health Services NHS Trust; Lincolnshire Partnership NHS Foundation Trust; and United Lincolnshire Hospitals NHS Trust)?
- Does the Committee wish to form a working group to prepare the statements?

**Actions Required:**

(1) To determine which of the following local providers of NHS-funded services on whose draft *Quality Account* the Health Scrutiny Committee for Lincolnshire would wish to make a statement (Section 4 of the report):

- Boston West Hospital
- East Midlands Ambulance Service NHS Trust
- Lincolnshire Community Health Services NHS Trust
- Lincolnshire Partnership NHS Foundation Trust

- Marie Curie
- Northern Lincolnshire and Goole NHS Foundation Trust
- Peterborough and Stamford Hospitals NHS Foundation Trust
- St Barnabas Hospice
- United Lincolnshire Hospitals NHS Trust

(2) To consider whether to work jointly with Healthwatch Lincolnshire and prepare a joint statement on the following three Quality Accounts:

- Lincolnshire Community Health Services NHS Trust;
- Lincolnshire Partnership NHS Foundation Trust; and
- United Lincolnshire Hospitals NHS Trust

(3) To consider whether establish a working group for the *Quality Account* process for 2017.

## 1. Legal Framework for Quality Accounts

The legal framework for *Quality Accounts* became effective on 1 April 2010, and has been amended since that time to reflect changes in NHS organisational structures and to further prescribe the content of each *Quality Account*. Each significant provider of NHS-funded services is required to submit their draft *Quality Account* to:

- their local Health Overview and Scrutiny Committee;
- their local Healthwatch Organisation; and
- their relevant Clinical Commissioning Group.

The definition of 'local' is the local authority area, in which the provider has their principal or registered office. Five providers of NHS-funded health care have their registered office in Lincolnshire.

### Role of the Health and Wellbeing Board

The regulations do not include a formal role for health and wellbeing boards. However, providers may share their draft *Quality Account* with their local health and wellbeing board for comments, if they wish. NHS England has emphasised that any involvement of health and wellbeing boards is discretionary.

## 2. What is a *Quality Account*?

The content of a *Quality Account* is prescribed by regulations. It must include:

- three or more **priorities for improvement** for the coming year;
- an account of the progress with the **priorities for improvement** in the previous year; and
- details of:
  - the types of NHS funded services provided;
  - any Care Quality Commission inspections;

- any national clinical audits;
- any Commissioning for Quality and Innovation (CQUIN) activities;
- general performance and the number of complaints; and
- mortality-indicator information.

In addition foundation trusts are required by NHS Improvement to prepare a Quality Report, which in effect must incorporate all the required elements of a *Quality Account*, together with additional requirements set by NHS Improvement.

It should be noted that statements prepared should not be limited to a response to the content of the draft *Quality Account*, but should in addition reflect the views of the Committee on the quality of services provided during the course of the year by the provider.

### **3. What Should a Statement on a *Quality Account* Cover?**

The Department of Health has previously issued guidance to bodies making statement on *Quality Accounts*, which encourages these organisations to focus on the following questions: -

- Do the priorities included in the *Quality Account* reflect the priorities of the local population?
- Have any major issues been omitted from the *Quality Account*?
- Has the provider demonstrated that they have involved patients and the public in the production of the *Quality Account*?
- Is the *Quality Account* clearly presented for patients and the public?
- Are there any comments on specific local issues, which Healthwatch / the Health Scrutiny Committee have been involved with?

### **4. Previous *Quality Account* Arrangements 2010 - 2016**

From the first year of the introduction of Quality Accounts in 2010 until 2012, the Health Scrutiny Committee for Lincolnshire and the Lincolnshire Local Involvement Network worked jointly. Healthwatch Lincolnshire was established on 1 April 2013 since that time, a joint arrangement has operated.

A working group arrangement has always been adopted, whereby representatives of the provider organisation present their draft *Quality Account* to a working group of Committee members and representatives from Healthwatch Lincolnshire. The output from the working group is a statement (up to 1,000 words) on the draft *Quality Account*, which has to be included in the final published version of the *Quality Account*.

The main points for 2016 were as follows:

- The first draft *Quality Account* was received on 31 March 2016 (Lincolnshire Community Health Services NHS Trust) and the last one was received on 27 June 2016 (Boston West Hospital), a period of three months. This 'phased' approach is beneficial and does not overwhelm the working group with too many *Quality Accounts* in a short period of time.

- Four working group meetings were held on 19 April, 26 April, 3 May and 14 June. Three of these meetings involved Healthwatch Lincolnshire.
- The Health Scrutiny Committee and Healthwatch Lincolnshire prepared joint statements on three draft *Quality Accounts*.
- The Health Scrutiny Committee had indicated that it would prepare statements on the draft *Quality Accounts* of Northern Lincolnshire and Goole NHS Foundation Trust and Peterborough and Stamford Hospitals NHS Foundation Trust. Statements were not submitted owing to the limited time available.
- Healthwatch Lincolnshire indicated that it would prepare a statement on the draft *Quality Account* of Boston West Hospital and accordingly made a statement, but the Health Scrutiny Committee declined to do so.

#### 4. Which Providers Should be Involved?

##### Lincolnshire Based Providers

It should be noted that the regulations enable the "relevant overview and scrutiny committee" to make a statement on the *Quality Account* of a local provider. This is defined as the overview and scrutiny committee of the local authority in whose area the provider has its registered or principal office". The following providers have headquarters in Lincolnshire and therefore they would be required to include a statement on their *Quality Account*: -

- Boston West Hospital (Ramsay Healthcare)
- Lincolnshire Community Health Services NHS Trust
- Lincolnshire Partnership NHS Foundation Trust
- St Barnabas Hospice
- United Lincolnshire Hospitals NHS Trust

There is regular engagement between the Health Scrutiny Committee and three of the above providers (Lincolnshire Community Health Services NHS Trust; Lincolnshire Partnership NHS Foundation Trust; and United Lincolnshire Hospitals NHS Trust).

##### Providers with Head Offices Outside Lincolnshire

The following providers do not have their registered office in Lincolnshire, but have in the past voluntarily agreed to the inclusion of a statement on their draft *Quality Account*:

- East Midlands Ambulance Service NHS Trust
- Northern Lincolnshire and Goole NHS Foundation Trust
- Peterborough and Stamford Hospitals NHS Foundation Trust

Each of the above trusts provides a significant number of services to Lincolnshire residents. The Health Scrutiny Committee is requested to consider on which of the above three providers' draft *Quality Account* it would wish to make a statement. In 2016, Marie Curie, with a head office in London, requested a statement from the Committee on the basis that it cares for more patients in Lincolnshire than any other local authority area.

## 6. Working with Healthwatch

The Health Scrutiny Committee for Lincolnshire has worked jointly with Healthwatch Lincolnshire for the last three years. Healthwatch is being consulted on whether it wishes to continue working with the Committee on three *Quality Accounts*:

- Lincolnshire Community Health Services NHS Trust
- Lincolnshire Partnership NHS Foundation Trust
- United Lincolnshire Hospitals NHS Trust

## 7. Working Group Arrangements

If the Committee were to adopt a working group arrangement, it is requested that the Committee indicate whether it they would wish to volunteer for this activity. This would involve meeting three or four times in total during April, May and early June.

## 8. Conclusion

The Committee is invited to make arrangements for the *Quality Account* process for 2015-16.

## 9. Consultation

This is not a consultation item. However, as part of the annual *Quality Account* process, the Health Scrutiny Committee for Lincolnshire is entitled to make a statement up to 1,000 words on the content of each local provider's draft *Quality Account*. This process is detailed throughout this report.

## 11. Background Papers - No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

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